

EFFECTIVE DATE: OCTOBER 2023

What does CPS Investment Advisors (CPS) do with your personal information?

WHY: Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

WHAT: The types of personal information we collect and share depends on the product or service you have with us. This information can include: Social Security number and identity information, address, telephone number, and e-mail. Securities accounts and account holdings, balances, family composition, and background. Securities transactions and investment objectives, net worth, income, and fee payment history.

HOW? All Financial companies need to share customer personal information to run their everyday business. In the chart to the right, we list the reasons financial companies can share their customer's personal information: the reasons CPS chooses to share; and whether you can limit this sharing.

REASONS WE CAN SHARE YOUR PERSONAL INFORMATION.	DOES CPS SHARE?	CAN YOU LIMIT THIS SHARING?
For everyday business purposes Such as process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to the credit business	YES	NO
For our marketing purposes To offer our products and services to you.	YES	NO
For joint marketing with other financial companies	NO	N/A
For our affiliates' everyday business purposes Information about your transactions and experiences	YES	NO
For our affiliates' everyday business purposes Information about your creditworthiness	YES	YES
For our affiliates to market to you	YES	YES
For nonaffiliated to market to you	NO	N/A

TO LIMIT OUR SHARING | (863) 688-1725 | CPSINVEST.COM

If you are a new customer, we can begin sharing your information for 30 days from the date we sent you this notice. When you are no longer our customer, we continue to share your information as described in this notice. However, you can contact us at any time to limit our sharing.

PRIVACY POLICY | THE FACTS

CPSInvest.com

MAIL BELOW FORM TO:

CPS Investment Advisors | 205 East Orange Street #310 | Lakeland, FL 33801

PLEASE PRINT

NAME	ADDRESS	
CITY	STATE	ZIP

CHECK ANY/ALL YOU WANT TO LIMIT:

- Do not share information about my creditworthiness with your affiliates for their everyday business purposes.
- Do not allow your affiliates to use my personal information to market to me.

WHO IS PROVIDING THIS NOTICE?

CPS Investment Advisors (“CPS”)

HOW DOES CPS PROTECT MY PERSONAL INFORMATION?

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings. **We restrict access to your non-public personal information to those employees who need to know that information to service your account.**

HOW DOES CPS COLLECT MY PERSONAL INFORMATION?

We collect your personal information, for example, when;

- You sign an agreement or open a brokerage/custodial account.
- Communicate with us or purchase or sell securities.
- Deposit or withdraw funds, provide or update your investment portfolio.

WHY CAN'T I LIMIT ALL SHARING?

Federal law gives you the right to limit only;

- Sharing for affiliates’ everyday business purposes - information about your creditworthiness.
- Affiliates from using your information to market to you.
- Sharing for non-affiliates to market to you.

State laws and individual companies may give you additional rights to limit sharing.

WHAT HAPPENS WHEN I LIMIT SHARING FOR AN ACCOUNT I HOLD JOINTLY WITH SOMEONE ELSE?

Your choices will apply to everyone on your account.

DEFINITIONS	
AFFILIATES	Companies related by common ownership or control. They can be financial and nonfinancial companies. CPS Group CPAs, PA, a related accounting firm. CPAlliance™ Insurances Services, a related insurance agency. We only share your information with these affiliates.
NON-AFFILIATES	Companies not related by common ownership or control. They can be financial and nonfinancial companies. We will not share your information with any nonaffiliates unless such sharing is necessary to service your account or required by law.
JOINT MARKETING	A formal agreement between nonaffiliated financial companies that together market financial products or services to you. We have not entered into any joint marketing agreements.